

Osaka University Conflict of Interest Management
Self-Report
EXAMPLE ENTRY

Form 1

**Osaka University Conflict of Interest Management Self-Report
(Academic 2018; For use by MHLW and AMED)**

To: The Chairperson, Osaka University Conflict of Interest Expert Committee

The situation in regard to conflict of interest for myself, my spouse and the immediate family in my household (both parents, and children) is as stated below.

- Please make any applicable entries to the items in the question below for each business and group involved. For each question, please enter activities and remuneration during the period covered both for yourself and for your spouse and the immediate family in your household (both parents, and children).
- Please have one research representative and one research supervisor file separately. If you are reporting multiple businesses and groups etc. and do not have enough space on a single sheet, you may attach another sheet or sheets (in the format of your choice).
- Please report yourself, and your spouse and the immediate family in your household (both parents, and children) on a single sheet of paper.
- In cases where there are changes to the information in 1 to 4 after you submit this report (such changes limited to: in Question 1, increase in the number of persons; in Question 1 to 4: ① increase in the number of businesses or groups ② Doubling or more of percentage of shares held or monetary sums in general), please contact us at the contact given on the reverse side, so that we can send the documentation for the revision procedure.

| | | | | | | | |
|--------------------------|--|---|---------|-----------------------|-----------------------|------|-----------------------|
| Date of report | | XX | mm | XX | dd | XX | yy |
| Faculty office | | <input type="radio"/> <input type="radio"/> Graduate School | | | | | |
| Title of post | | <input type="radio"/> <input type="radio"/> | | | | | |
| Name of reporter | | OSAKA Taro | | | | | |
| Phone number | | 06-XXXX-XXXX | | | | | |
| E-mail | | XXX@XXXX.osaka-u.ac.jp | | | | | |
| Personal number | | XXXXXXXX | | | | | |
| Address of the workplace | | <input type="radio"/> | factory | <input type="radio"/> | <input type="radio"/> | City | <input type="radio"/> |

Please complete here only if you belong to Osaka Uni. Your personal no. is the eight-digit number on your pay slip.

Please check in the box corresponding to the reporter's own status.

Please enter place of employment only if you belong to an institution other than Osaka Uni.

Please enter the research project name on the Plan Form or the Grant Application Form.

Please enter info. for the research rep. for the theme being reported.

Q.1 to 4: Please tick any of Self, Spousal or Parental (both parents and children) boxes. Please use a single report sheet even if there are

Academic 2018 MHLW Science grant Japan Agency for M Research F

Reporter's status Research representative Research Supervisor

Name of research project Project

Name of research theme

Theme number

Name of research representative for above theme

Body affiliated with University Graduate School

Title of post Name

1. Shares held or not held in business (Jan. 1, 2017 to present)

Shares not held

Shares held

● Person applicable Self Spousal member of household Parental member of household (both parents, and children)

Name of company or group Business receiving University venture

Type Publicly traded Private equity Stock warrant Other

No. of shares owned shares

Percentage of shares held approx. %

Time of acquisition 20XX yy XX mm (about)

Reason for acquisition

● Person applicable Self Spousal member of household Parental member of household (both parents, and children)

Name of company or group Business receiving University venture

Type Publicly traded Private equity Stock warrant Other

No. of shares owned shares

Percentage of shares held approx. %

Time of acquisition 20XX yy XX mm (about)

Reason for acquisition

2. In or not in side employment in a business etc. (related to research theme) (Jan. 1, 2017 to present)

Not in side employment

In side employment

● Person applicable Self Spousal member of household Parental member of household (both parents, and children)

Name of company or group Business receiving University venture

Type Side employment involving tech. trans. Side employment involving application of research Side employment as an auditor etc.

Other

Official position

Period of involvement yy mm dd to year mm dd

Earnings etc. yen/year (including estimated future earnings)

Total no. of hours hours/year (including estimated future hours)

● Person applicable Self Spousal member of household Parental member of household (both parents, and children)

Name of company or group Business receiving University venture

Type Side employment involving tech. trans. Side employment involving application of research Side employment as an auditor etc.

Other

Official position

Period of involvement year month day to year mm dd

Earnings etc. yen/year (including estimated future earnings)

Total no. of hours hours/year (including estimated future hours)

3. In receipt or not in receipt of other annual income apart from side employment in business etc. (related to research theme) (Jan. 1 to Dec. 31, 2017)

Please answer in cases where you are in receipt of annual income of 1,000,000 yen or more from a single business etc.)

Not in receipt of other annual income

In receipt of other annual income

● Person applicable Self Spousal member of household Parental member of household (both parents, and children)

Name of company or group Business receiving University venture

Type of income Royalties from personally owned patent rights etc. Lecture fees Other

Amount received yen/year

Q.1 to 4: In cases where you are receiving multiple types of income from one business, please fill in other sheets

● Person applicable Self Spousal member of household Parental member of household (both parents, and children)

Name of company or group Business receiving University venture

Type of income Royalties from personally owned patent rights etc. Payment for manuscript

Lecture fees Other Provision of lodgings (lab trip, lodging at a recreation facility)

Amount received yen/year

4. In receipt or not in receipt of annual income from an industry-academia-government collaboration (Jan. 1 to Dec. 31, 2017) (Please answer in cases where you are in receipt of annual income of 2,000,000 yen or more from your research contents)

However, in cases where you have "involved a student under" your "guidance in a business etc.," please answer regardless of the sum of money received.)

Not in receipt of the income detailed above

In receipt of the income detailed above

● Name of company or group Business receiving University venture

Type of activity Donation for study Collaborative research Commissioned research Commissioned test Clinical trial

Transfer of technology (Transfer of license or right of patent etc. owned by the University) Donation for study

I have received a commissioned researcher etc.* (*commissioned researcher, visiting lecturer, visiting researcher or research student etc.)

Provision gratis of goods or services to the value of 2,000,000 yen or more (except where included in a contract for collaborative research or commissioned research etc.)

I have involved a student under my guidance in a business etc.

Amount received yen/year

● Name of company or group

Type of activity Donation for study Collaborative research Commissioned research Commissioned test Clinical trial

Transfer of technology (Transfer of license or right of patent etc. owned by the University) Donation for study

I have received a commissioned researcher etc.* (*commissioned researcher, visiting lecturer, visiting researcher or research student etc.)

Provision gratis of goods or services to the value of 2,000,000 yen or more (except where included in a contract for collaborative research or commissioned research etc.)

I have involved a student under my guidance in a business etc.

Amount received yen/year

Please forward through internal University mail in a well-sealed envelope. (If you are affiliated with another body or institution, please forward to the research representative in a well-sealed envelope.)

[Submissions and contacts] Conflict of Interest Management Supervisor, Industry-University Co-Creation Division,
Department of Research and Industry Collaboration
Phone: 06-6879-4483 mail: coi-office@ml.office.osaka-u.ac.jp